

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)
Planned Carry Forward (PCs):								
FY06	CAM	UCD	PC	Organized Research Units	1	250	E.c.01	X
FY06	CAM	UCD	PC	Post Award	1	350	E.d.02	X
FY06	CAM	UCD	PC	Operations and Maintenance	3	40	K.b.02	
FY06	CAM	UCD	PC	UR Administration	1	150	L.a.01.01	X
FY06	CAM	UCD	PC	Biological Sciences Administrative Review	1	40	A.b.01.05	
FY06	CAM	UCD	PC	SOVM Pharmaceuticals and Supplies	1	40	A.b.01.12	
FY06	CAM	UCD	PC	Policies Related to Ethics	3	200	J.b.02.01	
FY06	CAM	UCD	PC	Graduate Extended Studies	3	40	A.c.01	
FY06	CAM	UCD	PC	Employee/Labor Relations	3	70	J.b.03	
FY06	CAM	UCD	PC	Student Information System - Banner	3	200	G.b.01.02	X
FY06	CAM	UCD	PC	Subtotal Campus		1380		
FY06	HS	UCD	PC	Electronic Medical Records	1	250	B.b.03	X
FY06	HS	UCD	PC	SOM Dept Review	3	125	B.e.01	
FY06	HS	UCD	PC	Hospital Receivables	1	350	B.i.01	X
FY06	HS	UCD	PC	Health Sciences Advancement	1	120	L.a.05	
FY06	HS	UCD	PC	Disaster Recovery Planning	2	100	G.b.05	X
FY06	HS	UCD	PC	Clinical Research and Billing	1	400	E.a.05	X
FY06	HS	UCD	PC	Laboratory Compliance	1	100	E.a.03	X
FY06	HS	UCD	PC	Subtotal Health System		1445		
(a) Subtotal - Planned Carry Forward						2825		
Planned New Audits (PNs):								
FY06	CAM	UCD	PN	UCD Foundation	1	250	L.a.03	
FY06	CAM	UCD	PN	Major Construction Projects	1	400	K.a.01	X
FY06	CAM	UCD	PN	Ethics	1	250	J.b.02.01	
FY06	CAM	UCD	PN	Veterinary Medicine Teaching Hospital	1	250	A.b.01.12	
FY06	CAM	UCD	PN	Athletics-NCAA	1	250	M.a.01	
FY06	CAM	UCD	PN	Purchased Utilities	1	250	K.b.03	
FY06	CAM	UCD	PN	Select Agents	1	300	I.b.04	
FY06	CAM	UCD	PN	Wireless Networks	1	300	G.a.02	X
FY06	CAM	UCD	PN	Department of Nutrition	1	200	A.b.01.02	
FY06	CAM	UCD	PN	Accounts Payable Cycle	1	400	H.c.01, H.c.02 and H.c.05	X (3 core)
FY06	CAM	UCD	PN	Subtotal Campus		2850		
FY06	HS	UCD	PN	Major Construction Projects	1	400	K.a.01	X
FY06	HS	UCD	PN	Physician Receivables	1	400	B.i.03	X
FY06	HS	UCD	PN	Post Award	1	200	E.d.02	X
FY06	HS	UCD	PN	Travel and Entertainment	1	275	H.c.03	X
FY06	HS	UCD	PN	Time Reporting	1	225	H.e.04	X
FY06	HS	UCD	PN	Corporate Compliance Annual Report Review	3	100	E.a	
FY06	HS	UCD	PN	Hospital/Facility Compliance Program	1	250	E.a.01	X
FY06	HS	UCD	PN	Cardiac Catherterization Laboratory	2	200	B.e.01.26	
FY06	HS	UCD	PN	Central Receiving	2	150	B.e.01.24	
FY06	HS	UCD	PN	SOM Department Reviews - 2	3	300	B.e.01	
FY06	HS	UCD	PN	Ethics	2	150	J.b.02.01	
FY06	HS	UCD	PN	Continued Medical Education	2	250	B.e.01.25	
FY06	HS	UCD	PN	Subtotal Health System		2900		
FY06	CAM/HS	UCD	PN	(b) Subtotal - Planned New Audits		5750		
FY06	CAM/HS	UCD	PS	(c) Planned Supplemental Audits (lumpsum)		530		
FY06	CAM/HS	UCD	PNF	(d) Audit Follow Up (lump sum)		850		
Total Planned Audit Program (a+b+c+d)⁽¹⁾						9955		

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Planned Advisory Services:								
FY06	CAM	UCD	SC	FARE Committee	3	40	E.f.03	
FY06	CAM	UCD	SC	Investigations Coordination Workgroup	3	100	H.	
FY06	CAM	UCD	SC	Senior Advisors Group	3	40	H.d.	
FY06	CAM	UCD	SC	University Compliance Task Force	3	40	A.b.01.01	
FY06	CAM	UCD	SC	Willed Body Program	3	40	B.e.01.01	
FY06	CAM	UCD	SC	Internal Control Training	3	25	I.a.	
FY06	CAM	UCD	SC	Bookstore DaFIS Interface	3	300	M.a.02	
FY06	CAM	UCD	SC	Art Department Support Group	3	143	A.b.01.04	
FY06	CAM	UCD	SC	Subtotal Campus		728		
FY06	HS	UCD	SC	Willed Body Program	3	40	B.e.01.01	
FY06	HS	UCD	SC	Corporate Compliance Committee	3	40	E.a.	
FY06	HS	UCD	SC	Facilities (Hospital) Compliance	3	40	E.a.01	
FY06	HS	UCD	SC	HIPAA Committee	1	40	B.b.03	
FY06	HS	UCD	SC	Pro-Fee Billing Compliance Committee	2	40	E.a.04	
FY06	HS	UCD	SC	Subtotal Health System		200		
FY06	CAM/HS	UCD	SC	Subtotal		928		
FY06	CAM	UCD	SI	Enterprise Risk Workgroup	3	40	I.a.	
FY06	CAM/HS	UCD	SI	Subtotal		40		
FY06	CAM	UCD	SR	DaFIS A/R Upgrade	3	40	G.b.01.01	
FY06	CAM	UCD	SR	Travel and Entertainment System Committee	2	60	H.c.04	
FY06	CAM	UCD	SR	CCFIT	3	30	G.a.01	
FY06	CAM	UCD	SR	File Encryption Software Workgroup	3	60	G.b.01	
FY06	CAM	UCD	SR	Subtotal Campus		190		
FY06	HS	UCD	SR	Time and Attendance System	1	100	H.e.04	
FY06	HS	UCD	SR	Subtotal Health System		100		
FY06	CAM/HS	UCD	SR	Subtotal		290		
(e) Subtotal - Planned Advisory Services						1258		
Unplanned Advisory Services (by Category)⁽²⁾								
FY06		UCD	SC	Consultations		350		
FY06		UCD	SE	External Audits		240		
FY06		UCD	SR	System Reengineering		120		
(f) Subtotal - Unplanned Advisory Services						710		
Total Advisory Services (e+f)⁽¹⁾						1968		
FY06	cam	UCD	IN	Planned Investigation Hours (lump sum)		2500		

Total Audits, Advisory Services, and Investigations: 14423

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ indicates core program will be used

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others