

LLNL

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)	Prj No.
Planned Carry Forward (PCs):									
FY06	LAB	LLNL	PC	Physical Security	3	115	G.b.06	x	05-03
FY06	LAB	LLNL	PC	C&MS Admin	3	162	C.b.05		05-13
FY06	LAB	LLNL	PC	Chemtrack	1	231	I.b.02		05-15
FY06	LAB	LLNL	PC	Contract Bid and Proposal	1	116	C.c.01.01		05-18
FY06	LAB	LLNL	PC	Subcontract Audit Administration	1	116	C.f.01		05-11
FY06	LAB	LLNL	PC	Safeguards and Security	1	289	C.d.02		05-12
FY06	LAB	LLNL	PC	Selected Policies Related to Ethics	3	231	J.b.02.01	x	05-16
(a) Subtotal - Planned Carry Forward						1260			
Planned New Audits (PNs):									
FY06	LAB	LLNL	PN	Cost Allowability - FY05	1	802	C.c.04	x	06-01
FY06	LAB	LLNL	PN	Cost Distribution - Indirect Cost - Special G&A Uses	1	574	E.f.03.02	x	06-02
FY06	LAB	LLNL	PN	Logical Security	1	458	G.b.02	x	06-03
FY06	LAB	LLNL	PN	Employee Eligibility	3	401	H.e.03	x	06-04
FY06	LAB	LLNL	PN	Selected Policies Related to Ethics	3	372	J.b.02.01	x	06-06
FY06	LAB	LLNL	PN	Environmental Protection Department Administrative Controls	1	429	I.b.02		06-07
FY06	LAB	LLNL	PN	Plant Engineering Department Administration	1	429	K.b.02.01		06-08
FY06	LAB	LLNL	PN	Conferences	1	515	M.b.01		06-09
FY06	LAB	LLNL	PN	Export/Publication Controls	2	458	C.d.01		06-10
FY06	LAB	LLNL	PN	Fabrication Accounting Survey	1	372	H.g.01		06-11
FY06	LAB	LLNL	PN	Safeguards and Security - Classified Removable Electronic Media (CREI)	1	458	C.d.02.01		06-12
FY06	LAB	LLNL	PN	Property Held by Subcontractors	1	373	H.g.02.02		06-13
FY06	LAB	LLNL	PN	Non-Capital (Wiz Tags and Job Orders) Construction Management	1	573	K.b.02		06-15
FY06	LAB	LLNL	PN	Employee Travel Relocation	1	458	H.c.04.03		06-16
(b) Subtotal - Planned New Audits						6672			
FY06	LAB	LLNL	PS	(c) Planned Supplemental Audits (lumpsum)		1290			
FY06	LAB	LLNL	PNF	(d) Audit Follow Up (lump sum)		560	(5)		
Total Planned Audit Program (a+b+c+d) ⁽¹⁾					3110	9782	9782		
Planned Advisory Services:									
(e) Subtotal - Planned Advisory Services ⁽⁴⁾									
Unplanned Advisory Services (by Category) ⁽²⁾									
FY06	LAB	LLNL	SC	Consultations/Spec. Projects, SC		3050			
FY06	LAB	LLNL	SE	Ext. Audit Coordination, SE		1763			
FY06	LAB	LLNL	SI	Internal Control & Accountability, SI		246			
FY06	LAB	LLNL	SP	IPA, COI & Other, SP		0			
FY06	LAB	LLNL	SR	Systems Dev., Reengineering Teams, etc., SR		0			
(f) Subtotal - Unplanned Advisory Services						5059			
Total Advisory Services (e+f) ⁽¹⁾⁽⁴⁾						5059			
FY06	Lab	LLNL	IN	Planned Investigation Hours (lump sum)		1283			
Total Audits, Advisory Services, and Investigations						16124			
⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report									
⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)									
⁽³⁾ indicates core program will be used									
⁽⁴⁾ All planned topics have been identified as Planned New Audits rather than Advisory Services.									
(5) Tier 1 breakdown of Followup hours is provided on Schedule 4									
* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others									