

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes <sup>(3)</sup> )
				<b>Planned Carry Forward (PCs):</b>				
FY05	Lab	LANL	PC	UCOP- Required Ethics Audit	1	100.0	J	
FY05	Lab	LANL	PC	Database System Management (IT)	1	50.0	G.b.03	X
FY05	Lab	LANL	PC	Maintenance - Work Order Reasonableness	2	50.0	K.b.02	
FY05	Lab	LANL	PC	Indirect Pricing Restructure	2	50.0	H.i.01	
FY05	Lab	LANL	PC	Major Construction Projects - Management/Bidding/Change Orders	2	50.0	K.a.01	X
<b>(a) Subtotal - Planned Carry Forward</b>						300.0		
				<b>Planned New Audits (PNs):</b>				
FY06	Lab	LANL	PN	Accounts Payable (Rescheduled from FY05)	1	400.0	H.c.01	X
FY06	Lab	LANL	PN	Business Contracts - Management of Subcontract Closeouts	1	400.0	H.f.01	X
FY06	Lab	LANL	PN	Cost Allowability Clauses - FY05 Allowable Cost	1	750.0	C.c.04	X
FY06	Lab	LANL	PN	Sub Ledger Systems - Feeder System Review	1	400.0	H.d.02	
FY06	Lab	LANL	PN	Information Security - JIT Replacement	1	400.0	C.d.01	
FY06	Lab	LANL	PN	Strategic Planning - IT Software Inventory	1	500.0	G.a.01	
FY06	Lab	LANL	PN	Strategic Planning - IT Budget/Investment/Purchasing Management	1	650.0	G.a.01	
FY06	Lab	LANL	PN	Data Center Operations & Operating System Software	1	400.0	G.b.04	X
FY06	Lab	LANL	PN	Work for Others	1	400.0	C.e.01	
FY06	Lab	LANL	PN	Minor Construction Projects - GPP Estimated Project Costs	1	400.0	K.a.02	
FY06	Lab	LANL	PN	Human Resources - Compensation & Management Review	1	600.0	J.b.01	
FY06	Lab	LANL	PN	Maintenance - Space Allocation/Facility Utilization	1	600.0	K.b.02	
FY06	Lab	LANL	PN	Ethics Project Phase II	1	300.0	J.b.02.01	
FY06	Lab	LANL	PN	Physical Security - Data Center and Networks - Red Network	1	400.0	G.b.06	X
FY06	Lab	LANL	PN	Time and Effort Reporting - Craft Labor Changes	1	400.0	H.e.04	X
FY06	Lab	LANL	PN	Computer and Communications Security - Wireless Devices	1	400.0	C.d.04	
FY06	Lab	LANL	PN	Cost Accounting Standard Disclosure Statements	2	400.0	E.f.01	X
FY06	Lab	LANL	PN	Precious Metals Accountability	2	400.0	C.c.05	
FY06	Lab	LANL	PN	Contract Pre-Award Audits (Numerous Audits)	1	1000.0	H.f.01.12	X
FY06	Lab	LANL	PN	Contract Closeouts Audits (Numerous Audits)	1	5800.0	H.f.01.17	X
FY06	Lab	LANL	PN	Contract Interim Audits (Numerous Audits)	1	5800.0	H.f.01.09	X
<b>(b) Subtotal - Planned New Audits</b>						20800.0		
FY06	Lab	LANL	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		900.0		
FY06	Lab	LANL	PNF	<b>(d) Audit Follow Up (lump sum)</b>	1	2600.0	C.f.04	
<b>Total Planned Audit Program (a+b+c+d) <sup>(1)</sup></b>						24600.0		
				<b>Planned Advisory Services:</b>				
FY06	Lab	LANL	SC	Sub Ledger System - CREM Library (Sunflower)	1	400.0	H.d.02	
FY06	Lab	LANL	SC	General Ledger - Enterprise Project	1	700.0	H.d.01	
FY06	Lab	LANL	SC	Personnel Security - Management of Clearances and Badges	1	400.0	C.d.03	
FY06	Lab	LANL	SC	Cost Allowability Review Board	1	150.0	C.c.04	X
FY06	Lab	LANL	SC	Software Management Subcommittee	1	300.0	G.b.01	X
FY06	Lab	LANL	SC	Conference Administration	2	200.0	M.b.01	
FY06	Lab	LANL	SC	Backup and Recovery - Business Continuity	1	200.0	G.b.05	X
FY06	Lab	LANL	SC	CFO4 - Internal Control Improvements	1	200.0	H	
<b>(e) Subtotal - Planned Advisory Services</b>						2550.0		
				<b>Unplanned Advisory Services (by Category) <sup>(2)</sup></b>				
FY06	Lab	LANL	SC	Lumpsum SC (Consultations/Special Projects)		1200.0		
FY06	Lab	LANL	SE	Lumpsum for External Audit Liaison		3360.0		
<b>(f) Subtotal - Unplanned Advisory Services</b>						4560.0		
<b>Total Advisory Services (e+f) <sup>(1)</sup></b>						7110.0		
FY06	Lab	LANL	IN	<b>Planned Investigation Hours (lump sum)</b>		9360.0		

**Total Audits, Advisory Services, and Investigations**

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(3)</sup> indicates core program will be used

\* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others