

UNIVERSITY OF CALIFORNIA								
INTERNAL AUDIT PLAN DETAIL							SCHEDULE 3	
FY 2005								
UC-Santa Cruz								
FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (yes = X)
FY05	Cam	UCSC		<i>Planned Carry Forward</i>				
FY05	Cam	UCSC	PC	Subtotal Planned Carry Forward		0		
				<i>Planned New Audits</i>				
FY05	Cam	UCSC	PN	University Affiliated Research Center (UARC)	1	450	E.c.01.03	x
FY05	Cam	UCSC	PN	Database Systems Management/ Data Warehouse	1	400	G.b.03	x
FY05	Cam	UCSC	PN	IT Backup and Recovery Planning	1	300	G.b.05	x
FY05	Cam	UCSC	PN	Labor/Employee Relations	1	350	J.b.03	
FY05	Cam	UCSC	PN	Financial Aid	1	400	A.d.03	x
FY05	Cam	UCSC	PN	Student Health Services/ HIPAA Compliance	1	350	A.d.07	
FY05	Cam	UCSC	PN	Indirect Cost Rate/Overhead/ Recharges	1	400	E.f.03	x
FY05	Cam	UCSC	PN	Misc. Billings and Receivables	1	350	H.a.01	
FY05	Cam	UCSC	PN	University Extension / Summer Sessions	2	350	A.c.03	
FY05	Cam	UCSC	PN	Follow-up Audits	3	150	E.d.02	x
FY05	Cam	UCSC	PN	Select Policies related to Ethics	1	300	J.b.03	
				Subtotal Planned New Audits		3,800		
FY05	Cam	UCSC	PS	<i>Planned Supplemental Audits (lump sum only)</i>		238		
				Total Planned Audit Program⁽¹⁾		4,038		
				<i>Planned Advisory Services</i>				
				<i>Consultations/Special Projects (SC)</i>				
FY05	Cam	UCSC	SCS	MBEST Financial Statements	2	160	E.c.01.01	
FY05	Cam	UCSC	SCS	SUA Financial Statements	3	100	A.d.02	
FY05	Cam	UCSC	SC	Miscellaneous Advisory Services	3	300		
				Subtotal (SC)		560		
				<i>External Audit Coordination (SE,</i>				
FY05	Cam	UCSC	SE	External Audit Coordination	3	40		
				<i>Internal Controls/ Accountability (SI,</i>				
FY05	Cam	UCSC	SI	Policies and Procedures Reviews and Training	1	80	H. c. 04	
				<i>IPA, COI & Other (SP)</i>				
FY05	Cam	UCSC	SPS	Conflict of Interest Coordinator	3	75		
				<i>Systems Development, Reengineering Teams (SR)</i>				
FY05	Cam	UCSC	SRS	Payroll Processing /Time Keeping System Implementation	1	80	H.e.01	x
FY05	Cam	UCSC	SRS	Software Acquisition Projects	1	80	G.b.01	x
FY05	Cam	UCSC	SRS	Accounts Payable/Travel/Entertainment/Equipment/ Ledger Systems/Tax Accounting Redesign Team	1	160	H.c.04	x
FY05	Cam	UCSC	SRS	EVC Steering Committee-Process Redesign:	2	60	F.a.05	
FY05	Cam	UCSC	SRS	Purchasing Processes/Purchase orders/ Procard/ EFT/Business Contracts Redesign Reviews	2	120	H.f.03	x
FY05	Cam	UCSC	SRS	HR / Benefits Accounting Redesign Review:	1	80	H.e.03	x
				Subtotal (SR)		580		
				Subtotal Planned Advisory Services		1,335		
				<i>Unplanned Advisory Services (by Category)⁽²⁾</i>				
				[insert subtotals for other Advisory Services hours]				
				Total Advisory Services⁽¹⁾				
				(1) Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report				
				(2) enter lump sums for each applicable AS category (i.e. - SC, SE)				
				* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others				