

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (yes = X)
<u>Planned Carry Forward</u>								
FY05	Cam	UCSB	PC	Network Management & Security - Core	1	450	G.a.02	X
FY05	Cam	UCSB	PC	Billing Office / BARC System - Core	1	350	A.d.06	X
FY05	Cam	UCSB	PC	Federal Research Cost Allocation - Core	1	250	E.f.03	X
FY05	Cam	UCSB	PC	Emergency/Terrorist Threat Preparedness Planning	1	150	I.d.01	
FY05	Cam	UCSB	PC	Office of Information Technology - ICR***	2	150	G.c.01.01	
FY05	Cam	UCSB	PC	Instructional Development - ICR***	3	150	A.c.01.03	
Subtotal Planned Carry Forward						1,500		
<u>Planned New Audits</u>								
FY05	Cam	UCSB	PN	Physical Security - Data Centers/Networks - Core	1	400	G.b.06	X
FY05	Cam	UCSB	PN	University Center	1	400	M.a.09.01	
FY05	Cam	UCSB	PN	Office of the Registrar - LSA**	2	300	A.d.05	
FY05	Cam	UCSB	PN	Admissions & Outreach Services - LSA**	2	300	A.d.01	
FY05	Cam	UCSB	PN	Business Services Office - ICR***	1	150	M.b.00.02	
FY05	Cam	UCSB	PN	Environmental Studies Department - ICR***	2	150	A.b.01.22	
FY05	Cam	UCSB	PN	Computer Science Department - ICR***	2	150	A.b.01.45	
FY05	Cam	UCSB	PN	Alumni Assoc	3	400	L.a.04	
FY05	Cam	UCSB	PNF	Follow-Up Reviews	3	150	A	
FY05	Cam	UCSB	PN	Select Policies related to Ethics	1	300	J	
Subtotal Planned New Audits						2,700		
FY05	Cam	UCSB	PS	<u>Planned Supplemental Audits (lump sum only)</u>		151		
Total Planned Audit Program ⁽¹⁾						4,351		
<u>Planned Advisory Services</u>								
FY05	Cam	UCSB	SRS	Recharges / Income & Recharge Committee	1	100	H.i.01	
FY05	Cam	UCSB	SRS	VCAS - Divisional Server Consolidation Workgroups - Core	3	100	G.b.03	x
FY05	Cam	UCSB	SRS	VCAS - Process Efficiency Workgroups	3	75	M.b.00.01	
FY05	Cam	UCSB	SRS	New PAR System Implementation - Core	3	50	E.f.02	x
FY05	Cam	UCSB	SRS	P-Card Implementation - Core	3	50	H.f.03	x
FY05	Cam	UCSB	SCS	Whistleblower Oversight Workgroup	3	25	M.b.00.01	
FY05	Cam	UCSB	SCS	Campus HIPAA Committee	3	25	A.d.07	
FY05	Cam	UCSB	SCS	UCen Governance Board	1	25	M.a.09.01	
Subtotal Planned Advisory Services						450		
<u>Unplanned Advisory Services (by Category) ⁽²⁾</u>								
FY05	Cam	UCSB	SC	Consultations / Special Projects		475		
FY05	Cam	UCSB	SI	Internal Control & Accountability		120		
FY05	Cam	UCSB	SR	Systems Development/Reengineering		75		
FY05	Cam	UCSB	SP	IPA, COI & Other		100		
FY05	Cam	UCSB	SE	External Audit Coordinator		50		
Total Advisory Services ⁽¹⁾						1,270		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

5,621

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

** Limited Scope Audit (defined in plan schedule 3A)

*** Internal Control Review (defined in plan schedule 3A)