

<LOCATION>

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (yes = X)
<u>Planned Carry Forward</u>								
None								
Subtotal Planned Carry Forward								
<u>Planned New Audits</u>								
FY05	CAM	UCR	PN	Balance Sheet Accounts	1	350	H.d.01	
FY05	CAM	UCR	PN	Cashiering Control Reviews	1	250	H.b.02	x
FY05	CAM	UCR	PN	Office of Design & Construction	1	100	K.a.01	x
FY05	CAM	UCR	PN	UNEX Q2 Post Implementation	1	200	A.c.03	x
FY05	CAM	UCR	PN	Capital Planning	1	100	F.a.04	
FY05	CAM	UCR	PN	Direct Charges	1	200	H.c.02	x
FY05	CAM	UCR	PN	AEEI	1	350	A.b.01.03	
FY05	CAM	UCR	PN	Comprehensive Student Admission Process	1	150	A.d.01	
FY05	CAM	UCR	PN	Indirect Cost Rate	1	200	E.f.03	x
FY05	CAM	UCR	PN	Academic "By Agreement" Payroll	2	200	A.a.02	
FY05	CAM	UCR	PN	CE-CERT Limited Audit	2	100	A.b.01.26	
FY05	CAM	UCR	PN	Mechanical Engineering Limited Audit	3	75	A.b.01.26	
FY05	CAM	UCR	PN	Psychology Limited Audit	3	30	A.b.01.19	
FY05	CAM	UCR	PN	Dining Services Food Inventory	3	50	M.a.03	
FY05	CAM	UCR	PN	UECC Americorp	3	75	E.d.02	
FY05	CAM	UCR	PN	Housing Billing System	2	150	M.a.04	
FY05	CAM	UCR	PN	Analytic Review and Fraud Detection	3	300	H.c.01	x
FY05	CAM	UCR	PN	Honoraria	3	150	H.e.02	x
FY05	CAM	UCR	PN	IRB - Human Subjects	3	150	E.b.01	x
FY05	CAM	UCR	PN	Computer Operations	3	145	G.b.04	x
FY05	CAM	UCR	PN	Fleet Services Fuel Cards	3	100	M.b.04	
FY05	CAM	UCR	PN	Audit Followups	3	380	H	
FY05	CAM	UCR	PN	Select Policies related to Ethics	1	300	J	
Subtotal Planned New Audits						4105		
FY05	CAM	UCR	PS	<u>Unplanned Supplemental Audits (lump sum only)</u>		165		
Total Planned Audit Program ⁽¹⁾						4270		
<u>Planned Advisory Services</u>								
FY05	CAM	UCR	SRS	Major Campus Systems	1	700	G.b.01	x
FY05	CAM	UCR	SCS	UCR Whistleblower Implementing Procedures	3	50	H	
FY05	CAM	UCR	SCS	Training - Financial System Controls	3	100	H	
FY05	CAM	UCR	SCS	Training - Whistleblower Policy & Fraud Awareness	3	100	H	
FY05	CAM	UCR	SCS	Training - Risk & Internal Control	3	20	H	
FY05	CAM	UCR	SCS	NCAA - Compliance	2	10	M.a.01	
FY05	CAM	UCR	SCS	Graduate Student Funding and Information System	3	300	A.d.03	x
FY05	CAM	UCR	SCS	UNEX China Operations	3	25	A.c.03	
FY05	CAM	UCR	SCS	Entertainment Policy Workgroup	3	25	H.c.03	
Subtotal Planned Advisory Services						1330		
<u>Unplanned Advisory Services (by Category) ⁽²⁾</u>								
FY05	CAM	UCR	SC	Consultations		250		
FY05	CAM	UCR	SE	External Audit Coordination		20		
FY05	CAM	UCR	SI	Internal Control & Accountability		120		
Total Advisory Services ⁽¹⁾						1720		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others