

UCLA

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (yes = X)
<u>Planned Carry Forward</u>								
FY05	CAM	UCLA	PC	AS - UCLA Restaurants - Operations Division	3	100	M.a.03	
FY05	CAM	UCLA	PC	BAS - Administration	3	300	H.a.01	
FY05	CAM	UCLA	PC	Extramural Funds Management	1	100	E.e.01	
FY05	HS	UCLA	PC	HS - Catheterization Laboratories	1	400	B.b.01	
FY05	HS	UCLA	PC	HS - Patient Business Services	1	400	B.i.01	
FY05	HS	UCLA	PC	HS - Equipment Management	2	300	H.g.02	
FY05	HS	UCLA	PC	HS - Controlled Substances	2	200	I.b.01	
Subtotal Planned Carry Forward						1800		
<u>Planned New Audits</u>								
Campus								
FY05	CAM	UCLA	PN	AS - UCLA Restaurants - Ackerman/South Division	3	280	M.a.03	
FY05	CAM	UCLA	PN	AS - UCLA Store - Luvalle Commons	3	220	M.a.02	
FY05	CAM	UCLA	PN	AS - Student Support/Union Services	3	200	A.d.02	
FY05	CAM	UCLA	PN	AS - Admin & Support - Publications Accounting	3	200	A.d.01	
FY05	CAM	UCLA	PN	AS - Cash Manager's Office	2	220	H.b.01	
FY05	CAM	UCLA	PN	AS - Cash Counts	1	60	H.b.02	
FY05	CAM	UCLA	PNF	Follow-ups		75	M	
FY05	CAM	UCLA	PN	BAS - B&AS Payroll Review	2	220	H.e.01	
FY05	CAM	UCLA	PN	BAS - BMG A/P Purchasing	3	200	H.f.04	
FY05	CAM	UCLA	PN	BAS - Conference Services	3	300	M.b.01	
FY05	CAM	UCLA	PN	BAS - Front Desk Operations	1	150	H.b.02	
FY05	CAM	UCLA	PN	BAS - FTS Auto Parts Inventory	3	230	M.b.04	
FY05	CAM	UCLA	PN	BAS - FTS Fuel Accountability	3	280	M.b.04	
FY05	CAM	UCLA	PN	BAS - Housing and Vending Cashiers	1	270	H.b.02	x
FY05	CAM	UCLA	PN	BAS - MDDS Laser Check Control	3	100	M.b.05	
FY05	CAM	UCLA	PN	BAS - OCH Food Inventory	2	400	M.a.03	
FY05	CAM	UCLA	PN	BAS - OCH Major Maintenance Reserve	3	230	K.b.02	
FY05	CAM	UCLA	PN	BAS - OIRM Operational Review	2	240	I.a.01	
FY05	CAM	UCLA	PN	BAS - Parking Recharge Activity	2	240	H.I.01	
FY05	CAM	UCLA	PN	BAS - Student Technology Center	1	180	H.b.02	
FY05	CAM	UCLA	PN	BAS - TS Cashier's Office/Meter Collection	1	380	H.b.02	
FY05	CAM	UCLA	PN	BAS - Turbodata Citation Contract	1	350	H.f.01	
FY05	CAM	UCLA	PN	BAS - UA Major Maintenance Reserve	3	230	K.b.02	
FY05	CAM	UCLA	PN	BAS - UA Payroll Review	2	100	H.e.01	
FY05	CAM	UCLA	PN	BAS - UA Revenue/Leasing/Vacancy	3	320	M.a.04	
FY05	CAM	UCLA	PN	BAS - Vending Machine Cash Collection	1	180	H.b.02	
FY05	CAM	UCLA	PNF	BAS-Follow Ups	3	195	H	
FY05	CAM	UCLA	PNF	BAS-Follow Ups	3	15	K	
FY05	CAM	UCLA	PNF	BAS-Follow Ups	3	145	M	
FY05	CAM	UCLA	PN	Physical Security - Data Center & Networks	1	360	G.b.06	x
FY05	CAM	UCLA	PN	Major Construction	1	400	K.a.01	x
FY05	CAM	UCLA	PN	Check Request Core/Employee Reimbursements	1	360	H.c.02	x
FY05	CAM	UCLA	PN	Business/Consulting Contracts Core (Payroll/Vendor File Comparison)	1	400	H.f.01	x
FY05	CAM	UCLA	PN	Human Subject Institutional Review Boards	1	400	E.b.01	x
FY05	CAM	UCLA	PN	Animal Subject Institutional Review Boards	1	300	E.b.02	x
FY05	CAM	UCLA	PN	Procurement Cards	1	360	H.f.03	x
FY05	CAM	UCLA	PN	UCLA Foundation	1	400	L.a.03	
FY05	CAM	UCLA	PN	Academic Department Review	3	600	A.b.01	
FY05	CAM	UCLA	PNF	Follow-up - Academic Support	3	360	A.b.01	
FY05	CAM	UCLA	PNF	Follow-up - Institutional Support	3	380	A.d.06	
FY05	CAM	UCLA	PN	Select Policies related to Ethics	1	300	J	
Total Campus						10830		
Health Sciences								
FY05	HS	UCLA	PN	HS - Physical Security - Data Center & Networks	1	300	G.b.06	x
FY05	HS	UCLA	PN	HS - Medicare Cost Reports	1	300	B.i.02	x
FY05	HS	UCLA	PN	HS - V.A. Disbursement Agreement	1	450	H.h.02	
FY05	HS	UCLA	PN	HS - Purchasing	1	500	H.f.04	x
FY05	HS	UCLA	PN	HS - Housestaff Duty Hours	1	420	J.b.02	
FY05	HS	UCLA	PN	HS - Organ Procurement Costs	1	300	B.b.06	
FY05	HS	UCLA	PN	HS - Compliance Program Annual Report	2	250	E.a.01	x
FY05	HS	UCLA	PN	HS - HIPAA Compliance	2	250	B.b.03	

FY05	HS	UCLA	PN	HS - Clinical Trials IRB	1	300	E.b.03	x
FY05	HS	UCLA	PN	HS - SMH Rehabilitation Services	2	380	B.e.01.02	
FY05	HS	UCLA	PN	HS - Respiratory Therapy	3	470	B.e.01.01	
FY05	HS	UCLA	PN	CCP - Physician Receivables	1	620	B.i.03	x
FY05	HS	UCLA	PN	CCP - Professional Fee Billing Compliance	2	320	E.a.04	x
FY05	HS	UCLA	PNF	HS - Follow-ups/Health Sciences Operations	3	300	B	
FY05	HS	UCLA	PNF	HS - Follow-ups/Financial Management	3	150	H	
FY05	HS	UCLA	PNF	HS - Follow-ups/Auxiliary, Business & Employee Support Svcs.	3	100	M	
FY05	HS	UCLA	PNF	CCP - Follow-ups/Health Sciences Operations	3	200	B	

Total Health Sciences

5610

Subtotal Planned New Audits

16440

Planned Supplemental Audits (lump sum only)

FY05	CAM	UCLA		supplemental
FY05	HS	UCLA		supplemental

1300
1300

Total Planned Audit Program ⁽¹⁾

20840

Planned Advisory Services

Consultations/Special Projects - Campus

FY05	CAM	UCLA	SCS	BAS- Bruin Park Plus Review	3	100	M.a.07	
FY05	CAM	UCLA	SCS	BAS- Cash Count/Other Miscellaneous	1	100	H.b.02	
FY05	CAM	UCLA	SCS	Gift/Membership Process Project	2	160	L.a.05	
FY05	CAM	UCLA	SCS	Faculty Recruitment/Retention Commitments	2	200	A.a.01	
FY05	CAM	UCLA	SCS	Gift Administration/Accounting Consultation	2	220	L.a.05	
FY05	CAM	UCLA	SCS	Effort Reporting System	1	160	E.f.02	
FY05	CAM	UCLA	SCS	Accounts Payable/Purchasing/Strategic Sourcing Initiative	2	140	H.c.01	
FY05	CAM	UCLA	SCS	Research Institutes	3	80	E.c.01.02	
						1160		

Consultations/Special Projects - Health Sciences

FY05	HS	UCLA	SCS	HS - O.R. Scheduling	1	300	B.g.03	
FY05	HS	UCLA	SCS	HS - Nursing Staffing	2	200	J.b.04	
FY05	HS	UCLA	SCS	HS - Emergency Room Services	2	220	B.g.01	
FY05	HS	UCLA	SCS	HS - Tiverton House	3	200	M.a.04	
FY05	HS	UCLA	SCS	HS - Compliance Program - Hospital/Facility	2	40	E.a.01	
FY05	HS	UCLA	SCS	HS - Compliance Program - Home Health	2	40	E.a.02	
FY05	HS	UCLA	SCS	HS - Compliance Program - Laboratories	2	40	E.a.03	
FY05	HS	UCLA	SCS	CCP - Compliance Program - Pro Fee Billing	2	40	E.a.04	
FY05	HS	UCLA	SCS	SOM - Compliance Program - Clinical Research	2	40	E.a.05	
FY05	HS	UCLA	SCS	SOM - Willd Body Program (Pathology)	1	1000	B.e.01.04	
						2120		

Total Consultation/Special Projects

3280

Internal Control & Accountability - Campus

FY05	CAM	UCLA	SIS	DACSS/ASAP System Support	2	380	G.b.02	
FY05	CAM	UCLA	SIS	Training Development Support	2	300	G.b.02	

Total Internal Control & Accountability

680

FY05	CAM	UCLA	SE	External Audit Coordination	2	1620	E.e.01	
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Systems Development, Reengineering Teams - Campus

FY05	CAM	UCLA	SRS	BruinBuy (Web Commerce)	2	120	G.b.01	
FY05	CAM	UCLA	SRS	Software - Application Review & Development Support	2	160	G.b.01	
FY05	CAM	UCLA	SRS	Bvoyager-BruinBuy Interface	2	120	G.b.01	
						400		

Systems Development, Reengineering Teams - Health Sciences

FY05	HS	UCLA	SRS	CCP - Departmental Guidance - Electronic Receipting	3	150	H.b.02	
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Total Systems Development, Reengineering Teams

550

Subtotal Planned Advisory Services

6130

Unplanned Advisory Services (by Category) ⁽²⁾

[insert subtotals for other Advisory Services hours]

Total Advisory Services ⁽¹⁾

6130

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

*** 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others**