

UCI

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (yes = X)
<u>Planned Carry Forward</u>								
FY05	CAM	UCI	PC	ICS	1	160.0	A.b.01	
FY05	HS	UCI	PC	Animal Subjects	1	120.0	E.b.02	
FY05	CAM	UCI	PC	Equipment Management	1	100.0	H.g.02	
FY05	HS	UCI	PC	Referral Labs	1	120.0	B.b.01	
FY05	HS	UCI	PC	Medicine	1	140.0	B.i.03	
FY05	HS	UCI	PC	Urology	1	330.0	B.e.01.03	
Subtotal Planned Carry Forward						970.0		
<u>Planned New Audits</u>								
FY05	CAM	UCI	PN	PAL Cards	1	200.0	H.f.03	X
FY05	CAM	UCI	PN	Design and Construction Services (departmental review)	1	300.0	A.b.01.01	
FY05	CAM	UCI	PN	D&CS, Major Construction	1	600.0	K.a.01	X
FY05	CAM	UCI	PN	Fund Acctg and Gift Processing	1	280.0	L.a.05	X
FY05	CAM	UCI	PN	Extramural Billing	1	300.0	G.b.01	X
FY05	CAM	UCI	PN	Analytical Planning Department	1	300.0	A.b.01.02	
FY05	CAM	UCI	PN	IT Strategic Planning	1	400.0	G.a.01	
FY05	CAM	UCI	PN	Disaster Recovery Planning	1	400.0	G.b.05	X
FY05	CAM	UCI	PN	Department of Education	2	350.0	A.b.01	
FY05	CAM	UCI	PNF	Follow ups	3	50.0	A	
FY05	CAM	UCI	PNF	Follow ups	3	50.0	H	
FY05	CAM	UCI	PN	Selected Policies related to Ethics	1	300.0	J	
FY05	HS	UCI	PN	UCIMC Pharmacy/COM Pharm.	1	400.0	B.b.04	
FY05	HS	UCI	PN	COM Otolaryngology	1	320.0	B.e.01.01	
FY05	HS	UCI	PN	COM Emergency Medicine	1	400.0	B.e.01.03	
FY05	HS	UCI	PN	UCIMC HIPAA Privacy Compliance	1	360.0	E.a.01.01	X
FY05	HS	UCI	PN	COM COEH	1	400.0	B.e.01.04	
FY05	HS	UCI	PN	UCIMC Plastic Surgery	1	400.0	B.e.01.02	
FY05	HS	UCI	PN	COM PBG	1	520.0	B.i.03	X
FY05	HS	UCI	PN	UCIMC Professional Fee Compliance	1	80.0	E.a.04	X
FY05	HS	UCI	PN	COM Willd Body Program	1	300.0	B.e.01.05	
FY05	HS	UCI	PN	UCIMC Cash Collections Ambulatory	1	400.0	H.b.02	X
FY05	HS	UCI	PNF	Follow ups	3	200.0	B	
FY05	HS	UCI	PNF	Follow ups	3	50.0	E	
FY05	HS	UCI	PNF	Follow ups	3	50.0	H	
Subtotal Planned New Audits						7410.0		
<u>Planned Supplemental Audits (lump sum only)</u>								
FY05	HS	UCI	PS			632.0		
Total Planned Audit Program ⁽¹⁾						9012.0		
<u>Planned Advisory Services</u>								
FY05	CAM	UCI	SCS	ACH Application, Planning Development	1	100	G.b.02.01	X
FY05	CAM	UCI	SCS	Personal Identity Data, SB 1386	1	100	G.b.02.02	X
FY05	HS	UCI	SCS	UCIMC HIPAA Security Planning	2	100	G.b.02	X
FY05	HS	UCI	SCS	UCIMC ABNs	2	300	B.l.01	X
Subtotal Planned Advisory Services						600		
<u>Unplanned Advisory Services (by Category) ⁽²⁾</u>								
FY05	CAM	UCI	SC			620		
FY05	CAM	UCI	SE			180		
FY05	CAM	UCI	SI			40		
FY05	CAM	UCI	SR			160		
Total Advisory Services ⁽¹⁾						1600		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others