

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (yes = X)
<u>Planned Carry Forward</u>								
FY05	CAM	UCD	PC	University Relations Administration Office	1	200	L.a.01.01	
FY05	CAM	UCD	PC	Labor/Employee Relations	3	147	J.b.03	
FY05	CAM	UCD	PC	Banner	3	250	G.b.01.02	
FY05	CAM	UCD	PC	Operations and Maintenance	3	200	K.b.02	
						797		
FY05	HS	UCD	PC	Electronic Medical Records	1	300	B.b.03	
FY05	HS	UCD	PC	Disaster Recovery Planning	2	175	G.b.05	X
FY05	HS	UCD	PC	Surgical Operations	2	125	B.g.03	
FY05	HS	UCD	PC	Department Review - Orthopedic Surgery	3	250	B.e.01.23	
FY05	HS	UCD	PC	Employee Loan Program	2	98	M.c.02	
						948		
Subtotal Planned Carry Forward						1745		
<u>Planned New Audits</u>								
FY05	CAM	UCD	PN	SOVM Pharmaceuticals and Medical Supplies	1	250	A.b.01.12	
FY05	CAM	UCD	PN	Major Construction	1	350	K.a.01	X
FY05	CAM	UCD	PN	Division of Biological Sciences Administrative Review	1	200	A.b.01.05	
FY05	CAM	UCD	PN	Admissions	1	250	A.d.01	
FY05	CAM	UCD	PN	Organized Research Units	1	250	E.c.01	X
FY05	CAM	UCD	PN	Post Award	1	350	E.d.02	X
FY05	CAM	UCD	PN	Facilities and Administrative Rate Review	1	250	E.f.03	X
FY05	CAM	UCD	PN	Campus Cashiering Review	1	250	H.b.02	X
FY05	CAM	UCD	PN	Recharge Rates	1	250	H.i.01	
FY05	CAM	UCD	PN	Select Policed related to Ethics	1	300	J	
FY05	CAM	UCD	PN	Select Agents	1	250	I.b.04	
						2950		
FY05	HS	UCD	PN	HIPAA Privacy	1	250	B.b. 03	
FY05	HS	UCD	PN	Corporate Compliance Annual Report Review	2	120	E.a	
FY05	HS	UCD	PN	Clinical Trials Office	1	250	E.b.03	
FY05	HS	UCD	PN	Laboratory Compliance	1	250	E.a.03	X
FY05	HS	UCD	PN	Clinical Research and Billing	1	400	E.a.05	X
FY05	HS	UCD	PN	Hospital Receivables	1	500	B.i.01	X
FY05	HS	UCD	PN	Life Flight Billing	1	200	B.i.01	X
FY05	HS	UCD	PN	SOM Department Administrative Review	2	300	B.e.01	
FY05	HS	UCD	PN	Professional Fee Billing	1	500	B.i.03	X
FY05	HS	UCD	PN	Decedent Affairs	1	200	B.b.01	
FY05	HS	UCD	PN	Health Sciences Advancement	1	300	L.a.05	
						3270		
FY05	CAM	UCD	PNF	Follow-Up		200	A	
FY05	CAM	UCD	PNF	Follow-Up		200	E	
FY05	CAM	UCD	PNF	Follow-Up		200	H	
FY05	HS	UCD	PNF	Follow-up		300	E	
FY05	HS	UCD	PNF	Follow-up		300	B	
						1200		
Subtotal Planned New Audits						7420		
FY05	CAM	UCD	PS	Planned Supplemental		145		
Total Planned Audit Program ⁽¹⁾						9310		

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<u>Planned Advisory Services</u>								
FY05	CAM	UCD	SCS	Disbursement Analysis	3	160	H.c.02	X
FY05	CAM	UCD	SCS	CNPRC Rate Committee	1	40	E.f.03	
FY05	CAM	UCD	SCS	FARE Committee	1	40	E.f.03	
FY05	CAM	UCD	SCS	Investigations Coordination Workgroup	3	100	H.	
FY05	CAM	UCD	SCS	MNRC Business Committee	1	40	E.c.	
FY05	CAM	UCD	SCS	NUD Policy Committee	1	40	E.f.03	
FY05	CAM	UCD	SCS	Senior Advisor Group	2	40	H.d.	
FY05	CAM	UCD	SCS	University Compliance Task Force	3	40	A.b.01.01	
FY05	CAM	UCD	SCS	Work Group on Research Gift Policy	2	20	L.a.05	
FY05	CAM	UCD	SCS	Internal Control Training	3	80	I.a	
FY05	CAM	UCD	SC	Small Consultations	3	200	various	
			SC	Subtotal Campus		800		
FY05	HS	UCD	SCS	Corporate Compliance Committee	2	40	E.a.	
FY05	HS	UCD	SCS	Facilities (Hospital) Compliance	2	50	E.a.01	
FY05	HS	UCD	SCS	Pro-Fee Billing Compliance Committee	3	50	E.a.04	
FY05	HS	UCD	SC	Small Consultations	3	175	various	
			SC	Subtotal Health System		315		
			SC	Subtotal		1115		
FY05	CAM	UCD	SE	External Audit Coordination	3	300	L.b.	
FY05	HS	UCD	SE	External Audit Coordination	3	100	L.b.	
			SE	Subtotal		400		
FY05	CAM	UCD	SIS	Enterprise Risk Workgroup	3	40	I.a.	
			SI	Subtotal		40		
FY05	CAM	UCD	SRS	AdC3 - SR	3	40	G.a.01	
FY05	CAM	UCD	SRS	DaFIS A/R Upgrage	3	40	G.b.01.01	
FY05	CAM	UCD	SRS	DaFIS Electronic G/L Review	2	40	H.d.01	
			SR	Subtotal Campus		120		
FY05	HS	UCD	SRS	Time and Attendance System	1	150	H.e.04	X
FY05	HS	UCD	SRS	HR - Payroll Transaction Processing	1	200	H.e.01	
FY05	HS	UCD	SRS	HIPAA Security Compliance	3	250	G.b.06	
			SR	Subtotal Health System		600		
			SR	Subtotal		720		
				Subtotal Planned Advisory Services		2275		
				Total Advisory Services ⁽¹⁾		2275		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others