

II. GOVERNANCE (2002)

A. THE GOVERNING BOARD

The Regents of the University of California comprise the governing board of directors of the public corporation that is the University of California. Under Article IX, Section 9 of the Constitution of the State of California, The Regents are constitutionally endowed with "full powers of organization and government, subject only to such legislative control as may be necessary to insure the security of its funds and compliance with the terms of endowments."

The Board of Regents has broad powers, including the "power to delegate to its committees, to the faculty of the University, or to others, such authority or functions as it may deem wise." The article states that "the university shall be entirely independent of all political and sectarian influence and kept free therefrom in the appointment of its Regents and in the administration of its affairs."

The Regents have adopted By-Laws and Standing Orders; these are available on the World Wide Web at: <http://www.ucop.edu/regents/bylaws/bylaws.html>.

By-Law 5.1(d) states that The Regents "shall be able persons broadly reflective of the economic, cultural, and social diversity of the state, including ethnic minorities and women." The board consists of 26 members. Seven are ex-officio members -- the governor, lieutenant governor, speaker of the Assembly, the superintendent of public instruction, president and vice president of the Alumni Associations of UC, and the UC president. Eighteen are appointed by the governor for 12-year terms. A UC student, chosen by the Regents from a list of students nominated by the University of California's Students' Association, is appointed by the Board for a one-year term. The Academic Senate has chosen to be represented on the Board by the Chair and the Vice Chair of the Academic Council (the executive committee of the UC-wide Academic Senate) who sit with the Board as non-voting members.

The governor is officially the president of the Board of Regents, but in practice the presiding officer of the Regents is the chairman, elected from among its body for a one-year term, as is the vice chair. A complete list of current board members can be found at the end of this chapter. The Board appoints the President who is the University's chief executive officer. The board also appoints the principal officers of the Regents: the general counsel, treasurer, and secretary. Information about these officers is available on the World Wide Web at: Secretary's Office: <http://www.ucop.edu/regents/>
Treasurer's Office: <http://www.ucop.edu/treasurer/>

The board operates through seven standing committees: audit, educational policy, finance, grounds and buildings, health services, investments, and oversight of the Department of Energy

Laboratories. The board meets six times a year for two-day meetings. Standing committees meet as required, usually on the day preceding the board's business meetings.

B. ORGANIZATION OF THE ADMINISTRATION

The University's administrative structure includes the Office of the President, which is the systemwide administrative office, and the Chancellors and administrators of the ten campuses. An organization chart can be found via the UCOP home page at <http://www.ucop.edu/ucophome/coordrev/orgchart.html>.

1. THE OFFICE OF THE PRESIDENT

The President is the executive head of the University, responsible directly to the Board of Regents and an ex-officio member of the Board. All other officers (e.g. Office of the President administrative officers, Department of Energy laboratory directors) are responsible to the President directly or through designated channels. The President has full authority and responsibility over the administration of all affairs and operations of the University, excluding only those activities which are the responsibility of the Secretary, Treasurer, and General Counsel of The Regents. The President may delegate any of the duties of his office except service as an ex officio Regent (Standing Order, 100.4).

Several of the prescribed duties under Standing Order 100.4 concern procedures relating to academic policy and practice, the budget process, and communication between the system office and the campuses. The President shall "consult with the Chancellors and the Academic Senate regarding the educational and research policies of the University" and inform them of related internal and external developments. The President makes recommendations to the Board concerning both the academic plans of the University and of the several campuses, and annually reviews the University's operating and capital budgets. The President administers the Office of the President, which has eight divisions: Academic Affairs, Agriculture and Natural Resources, Budget, Business and Finance, Clinical Services, Health Affairs, Laboratory Management, and University Affairs. Each division is administered by a chief officer, with defined responsibilities.

Provost & Senior Vice President -- Academic Affairs is the chief academic officer and acts in the President's absence. As the principal link between the administration and the Academic Senate, the Provost's responsibilities include academic and research policy, scientific and technical programs of the three national laboratories managed by UC, and systemwide programs such as admissions and outreach services, financial aid, University Extension, Continuing Education of the Bar, and the University Press. The Provost is also responsible for external educational relations, including those with the California Postsecondary Education Commission (CPEC), and for some aspects of accreditation. The Provost chairs the Academic Planning Council, which is the primary advisory board to the President on academic planning and program review of the multicampus system. Its membership includes senior administrators

in the Office of the President, representatives from the Academic Senate, and students.

Vice President – Educational Outreach reports to the Provost and is responsible for educational outreach, a complex and far-reaching effort by the University of California to prepare larger numbers of underrepresented minorities students for admission to the university and coordinate the university's efforts to work with K-12 educators and other segments of higher education across the state to improve teacher quality and student performance.

Senior Vice President -- Business & Finance is the UC's chief financial and business officer. The Senior Vice President's responsibilities include technology transfer activities, audit and corporate accounting, information systems and computing, facilities administration, employee and labor relations, benefit and retirement programs, administrative oversight of the three national laboratories managed by UC, hospital accounting and risk management.

Vice President – Financial Management reports to the Sr. Vice President, Business and Finance and is responsible for conducting financial analyses of University programs, developing policies, strategies, plans and programs for accounting, procurement, affirmative action business contracting, research and grant administration, and payroll. In addition, the department is charged with University financial and accounting reporting and controls and with developing corporate reports. Federal, state, and private sponsor audits and audits conducted by the Regents' external auditors are reviewed, negotiated and resolved. Other areas of responsibility include administration of the University's risk management program, and reviews of campus and hospital operations for conformance with established financial and business policies.

Senior Vice President -- University Affairs is responsible for acquisition of the University's systemwide operating and capital budgets, Federal and State governmental relations, communications and public affairs, development policy, advocacy efforts, and institutional relations programs.

Vice President -- Health Affairs is responsible for systemwide coordination of health sciences academic and clinical programs in the University's 15 health science programs; development and coordination of systemwide health sciences policy and planning, including enrollment planning; and administration of four self-supporting statewide grant programs: AIDS Research Program, Tobacco-Related Disease Research Program, Breast Cancer Research Program, and the Academic Geriatric Resource Program.

Vice President --Clinical Services Development is responsible for the strategic direction and the financial viability of the university's five academic medical centers and their clinical enterprise. The office is develops strategic plans and programs, policies, and guidelines for implementation and oversight of clinical enterprise activities.

Vice President for Budget reports directly to the President. In cooperation with the **Executive Budget Committee** (EBC), he is responsible for preparation of the proposed Regents' operating and capital budgets and is charged with the development and allocation of the University's budget. Members of the EBC include 2 chancellors, the chair and vice chair of the Academic Council, the Senior Vice Presidents for Academic Affairs and Business and Finance, the Vice President for University Affairs, an Executive Vice Chancellor, a faculty member, and the Vice President for Budget. The President chairs the Council, which meets monthly. The Budget Office presents and defends the University's budget to the State department of Finance.

Vice President for Agriculture and Natural Resources is responsible for agriculture education, research and public service efforts including Cooperative Extension; the Agriculture Experiment Station, a systemwide agricultural research arm; three agriculture colleges; and a Natural Reserve System that preserves natural habitats for research and teaching.

Vice President for Laboratory Management is the single point of contact and accountability for all matters related to contracts for laboratory management and is supported by the Provost and Senior Vice President – Academic Affairs and the Senior Vice President for Business and Finance. The University of California manages three laboratories for the U.S. Department of Energy (DOE): the Ernest Orlando Lawrence Berkeley National Laboratory, the Lawrence Livermore National Laboratory, both in California, and the Los Alamos National Laboratory in New Mexico. The University has managed the laboratories since their inception, a relationship spanning five decades, as a public service to the nation. The contracts between UC and DOE stress performance-based management measured against objective, mutually agreed upon standards. The quality of science and technology at the laboratories is assessed by a peer review process. Section II.C describes the University's oversight role for the DOE laboratories.

2. CAMPUS ORGANIZATION

The Chancellors

The chief administrative officer on each campus is the Chancellor. Broad powers are delegated to the Chancellors, as described in Regental Standing Order 100.6. The Chancellor is the executive head of all activities on the campus (with certain stated exceptions) and must be consulted with reference to these. In all matters within his or her jurisdiction, the Chancellor has "administrative authority within the budgeted items for the campus and in accordance with policies for the University as determined by the President of the University." The Chancellor is "responsible for the organization and operation of the campus, its internal administration, and its discipline; and decisions made by the Chancellor in accordance with the provisions of the budget and with policies established by the Board or the President of the University shall be final."

Academic Administrative Structure of the Campuses

Campuses are organized into schools, colleges, or both, which are themselves organized into departments. Some departments are further divided into disciplinary divisions. Administrative, fiscal, and policy responsibility for a school or college is assigned to its Dean or Provost. The academic leader and administrative head of a department within a school or college is the Department Chair. Chairs are appointed from the faculty ranks by the Chancellor, to whom they are responsible through the Dean or Provost.

Function of the Campus Administration

The campus administration is responsible for academic planning, facilities planning and maintenance, resource allocation, academic and staff employment actions. The administration solicits and receives advice from the Academic Senate on many of these issues. One of the primary responsibilities of the administration is to protect the academic freedom of the members of the campus community. This is accomplished by providing clear statements of commitment to academic freedom and by assuring that policies prohibiting the use of non-academic criteria in academic decision making are enforced. The administration and the Academic Senate confer frequently in order to coordinate their efforts and to improve the campus. (See Chapter IV, Academic Issues, for detailed information.)

3. ROLE OF THE FACULTY

The Regents of the University of California have delegated to the Academic Senate responsibility for admissions policy and curriculum. The administration is required to consult with the faculty regarding those issues over which the administration has responsibility, such as allocation of faculty positions or academic personnel decisions. These faculty roles are realized through the Academic Senate.

The composition and organization of the Universitywide Academic Senate are governed by Standing Order of the Regents Number 105.2. The Academic Senate consists of all members of the faculty with the titles of instructor, assistant professor, associate professor, and professor, principal administrative officers, and persons holding certain other titles. The Academic Senate is otherwise authorized to determine its own membership and organization. It may delegate authority to its divisions, one of which exists on each campus, or to committees. The systemwide legislative body is the Assembly, which consists of proportional representation from the ten campuses (divisions) and ex-officio members, and operates through a series of systemwide committees. The Academic Senate, subject to the approval of the Board of Regent, determines the conditions for admission, for certificates, and for degrees other than honorary degrees. It recommends to the President all candidates for such degrees, and must be consulted on the award of honorary degrees. It authorizes all courses and curricula, with certain stated exceptions (e.g., graduate level courses in professional schools; non-degree courses in University Extension).

The Academic Senate is authorized to advise a Chancellor concerning a campus budget and to

advise the President concerning the UC budget (this advisory role takes various forms at the individual campuses). The Universitywide Committee on Planning and Budget (UCBP) advises the President on the UC budget. The Academic Senate is also authorized to advise the President and Chancellors concerning the administration of the UC libraries. It selects committees to approve the publication of manuscripts by the University of California Press. Finally, the Senate has the right, through the President, to lay before the Board its views on any matter pertaining to the conduct and welfare of UC.

4. ROLE OF STUDENTS

The University of California Student Association (UCSA) is the official, universitywide student organization. It is a coalition of undergraduate and graduate student governments from the UC campuses and Hastings College of the Law. UCSA serves as the official representative for students to the UC Office of the President, Board of Regents, and systemwide committees. UCSA advocates, educates, and organizes to build the student voice in the University of California and throughout the state. The UCSA receives agenda materials for Regents' meetings, confers with members of the President's staff concerning them, and attends Regents' meetings. The UCSA nominates the candidates from which The Regents select the student Regent, who is a full voting member of the Board.

Students also participate in UC governance through membership on certain advisory and planning committees of the Office of the President, as well as through participatory representation of some universitywide Academic Senate Committees. The membership of the Academic Planning Council, which is a primary advisory board to the President, includes a graduate student and an undergraduate student recommended by the UCSA. Universitywide Academic Senate committees with student representatives include the Coordinating Committee on Graduate Affairs (CCGA) the Universitywide Committee on Planning and Budget (UCBP), and the Universitywide Committee on Educational Policy (UCEP). At the campus level, students are the primary members of the Chancellors' Registration Fee Advisory Committees, and participate in advisory committees on Student Health Services and Student Financial Aid.

C. OVERSIGHT OF THE DEPARTMENT OF ENERGY LABORATORIES

The Board of Regents has ultimate authority for the management of the three Department of Energy (DOE) laboratories. The Regents' Committee on Oversight of the DOE Laboratories considers matters related to laboratory management, reporting on these matters and referring action to the full board. With the approval of the Regents, the president of the University appoints the laboratory directors. Management oversight of the laboratories is delegated by The Regents to the University's president. The Vice President -- Laboratory Management reports to the President and is responsible for all matters related to the contracts. The Vice President is supported by the Provost and Senior Vice President -- Academic Affairs, who oversees scientific and technical programs at the laboratories, and the Senior Vice President for Business and Finance, who oversees the administrative functions associated with the

University's laboratory management. The Laboratory Administration Office, works closely with the laboratories and the DOE to provide overall contract management and specific oversight in these functional areas: laboratory management; information management; facilities management; environmental safety and health; procurement and property management; human resources; financial systems; and safeguards and security.

The *UC President's Council on the National Laboratories*, established in 1991, advises the President and The Regents on laboratory management, particularly the quality of science and technical work at the laboratories. The council has 20 members and ten ex-officio members. Appointed by the UC President, members represent academic and research institutions, private industry, and government. The council advises on the extent to which the programmatic missions of the laboratories are being effectively addressed; the core competencies maintained and nurtured; an atmosphere conducive to scientific inquiry and development of new knowledge is being maintained and fostered; operational and administrative functions are efficient, effective and supportive of the laboratories' programmatic missions; and plans for the future vitality of the laboratories is being effectively pursued. To conduct its work, the council has formed five panels: science and technology; national security; environment, safety and health; laboratory security; and project management. The council meets as a whole three times a year, once at each laboratory. Council panels meet more frequently.

More information about the three DOE laboratories and the advisory bodies is available at: <http://labs.ucop.edu/>

Members of the UC Board of Regents 2002

Richard C. Atkinson

Ex officio Regent and President of the University, effective October 1, 1995; B.Ph., University of Chicago, 1948; Ph.D., Indiana University, 1955; Director, National Science Foundation, 1977-80; Chancellor, University of California, San Diego, 1980-95.

Richard C. Blum

Appointed March 12, 2002 to a term expiring March 1, 2014 (by Davis); B.A., University of California, Berkeley; M.B.A, University of California, Berkeley; Chairman of Blum Capital Partners, L.P.; Co-Chairman of Newbridge Capital, LLC.

Barbara Bodine

Regent-designate, July 1, 2002 - June 30, 2003 and Treasurer, Alumni Associations of the University of California.

Cruz Bustamante

Lieutenant Governor of California and ex officio Regent, 1999-present; served as an Assemblyman

from the 31st district from 1993 to 1998 and served as Speaker of the Assembly from December 1996 to February 1998.

Ward Connerly

Appointed March 1, 1993 to term expiring March 1, 2005 (by Wilson); B.A., California State University, Sacramento, 1962 (Political Theory); President, Connerly & Associates, Inc., a land-use consulting firm.

John G. Davies

Appointed March 27, 1992 to term expiring March 1, 2004 (by Wilson); B.A., University of Southern California, 1956; LL.B., University of California, Berkeley, 1962; Attorney, Allen, Matkins, Leck, Gamble & Mallory, San Diego; served as Chairman of The Regents July 1998 - June 2000.

Gray Davis

Governor of California and ex-officio Regent, 1999-present; Lieutenant Governor of California and ex officio Regent, 1995-98; Stanford University, 1964; Columbia Law School 1967.

Delaine Eastin

State Superintendent of Public Instruction and ex officio Regent, 1995 - present; served four terms in the State Assembly from 1986 to 1994 representing the 20st district; bachelor's degree, University of California, Davis; Master's degree, University of California, Santa Barbara.

Judith L. Hopkinson

Appointed March 11, 1999 to a term expiring March 1, 2009 (by Davis); former Chief Operating Officer of Ameriquest Capital Corporation; B.A., Architecture, University of California, Berkeley.

Odessa Johnson

Appointed May 4, 1999 to a term expiring March 1, 2000 (by Davis); reappointed March 1, 2000 to a term expiring 2012; Dean Emerita of Community Education, Modesto Junior College; B.A., Tennessee State University; M.A., Columbia University. Currently is Vice Chairman of The Regents.

Joanne Kozberg

Appointed July 13, 1998 to term expiring March 1, 2010 (by Wilson); B.A., University of California, Berkeley; M.A. Occidental College; served as Secretary of the California State and Consumer Services Agency under former Governor Pete Wilson; President of the Music Center of Los Angeles County.

Sherry L. Lansing

Appointed March 11, 1999 to a term expiring March 1, 2010 (by Davis); Chair and CEO of Paramount Pictures' Motion Picture Group; B.S., Northwestern University. Vice Chairman of The Regents, July 2000.

David S. Lee

Appointed August 30, 1994 to term expiring March 1, 2006 (by Wilson); B.S., Montana State University, (Mechanical Engineering); M.S., North Dakota State University, (Mechanical Engineering); Chairman of the Board, ACT Manufacturing; Chairman of DTC Data Technology Corporation.

Dexter Ligot-Gordon

Student Regent-designate until July 1, 2002 at which time he will become the Student Regent for a one-year term; Undergraduate student at UC Berkeley.

Monica Lozano

Appointed September 21, 2001 to term expiring March 1, 2013 (by Davis); President and Chief Operating Officer of La Opinión Newspaper.

George M. Marcus

Appointed December 21, 2000 to term expiring March 1, 2012 (by Davis); B.A. (Economics), San Francisco State University; founder and Chairman of Marcus & Millichamp; Chairman, Essex Property Trust.

Velma Montoya

Appointed August 30, 1994 to term expiring March 1, 2005 (by Wilson); B.S., Occidental College, 1959 (Diplomacy and World Affairs); M.S., Fletcher School of Law and Diplomacy, 1960; M.S., Stanford University, 1965 (Economics); Ph.D., UCLA, 1977, (Economics); Former Commissioner, U. S. Occupational Safety and Health Review Commission.

John J. Moores

Appointed March 11, 1999 to a term expiring March 1, 2009 (by Davis); Chairman of the San Diego Padres baseball club and JMI Services, Inc.; B.A. in Economics, University of Houston; J.D., Bates College of the Law at University of Houston. Currently is Chairman of The Regents.

Gerald L. Parsky

Appointed June 27, 1996 to term expiring March 1, 2008 (by Wilson); B.S., Princeton University, 1964; J.D., University of Virginia Law School, 1968; Chairman, Capital Partners, L.P.

Norman J. Pattiz

Appointed September 21, 2001 to a term expiring March 1, 2004 (by Davis); Founder and Chairman of the Board of Westwood One.

Peter Preuss

Appointed June 27, 1996 to term expiring March 1, 2008 (by Wilson); Alumni Regent, 7/1/93 - 6/30/94; B.S., Technical University of Hanover, Germany, 1964 (Mathematics); M.S., University of

California, San Diego, 1968 (Mathematics); Founder and Chairman of the Board, Integrated Software Systems Corporation, 1970-86; Founder and President, The Preuss Foundation, Inc.

Haim Saban

Appointed February 1, 2002 (by Davis) to a term expiring March 1, 2013; Chairman and CEO of Saban Capital Group.

Fred Sainick

Regent-designate, July 1, 2001 - June 30, 2002 and Secretary of the Alumni Associations of the University of California; B.A., University of California, Irvine; J.D., University of California, Los Angeles; Partner, Sainick & Coté.

Tom Sayles

Appointed August 30, 1994 to term expiring March 1, 2006 (by Wilson); B.S., Stanford University, 1972 (Political Science); J.D., Harvard University, 1975; Vice President, Sempra Energy.

Laurence Seigler

Regent-designate July 1, 2002 through June 30, 2003, and Secretary, Alumni Associations of the University of California.

Alfredo Terrazas

Regent-designate, July 1, 2001 - June 30, 2002 and Treasurer, Alumni Associations of the University of California; B.A., University of California, Berkeley; J.D., Santa Clara University; Deputy Attorney General for the State of California.

Herb J. Wesson, Jr.

Speaker of the Assembly and ex officio Regent, effective February 8, 2002 - present; Assemblymember from the 47th district since 1998; B.A. degree in history from Lincoln University.

Faculty Representatives (non-voting)

Gayle Binion

Chair of UC's Academic Council; professor of Political Science, UC Santa Barbara.

Lawrence Pitts

Vice Chair of UC's Academic Council; professor of Neurosurgery, San Francisco

Reference <http://www.ucop.edu/ucophome/system/regbios.html>

ORGANIZATION CHARTS

<http://www.ucop.edu/ucophome/coordrev/orgchart.html>