



OFFICE OF THE VICE PROVOST -
ACADEMIC PERSONNEL AND PROGRAMS

OFFICE OF THE PRESIDENT
1111 Franklin Street, 11th Floor
Oakland, California 94607-5200

December 5, 2017

EXECUTIVE VICE CHANCELLORS/PROVOSTS

Dear Colleagues:

The Consumer Price Index increased by 1.9% over the 12-month period ending in May 2017. As a result, new thresholds for approval of above-threshold salaries for faculty ladder ranks have been adjusted for 2017-2018. The effective date of the adjustment is September 1, 2017.

The new 2017-18 thresholds are listed below:

<u>Faculty Ladder Ranks Scale</u>	<u>Threshold</u>
Faculty Ladder Ranks Scale – Academic Year	\$310,000
Faculty Ladder Ranks Scale – Fiscal Year	\$360,200
Faculty Ladder Ranks Scale – Business/Economics/Engineering – Academic Year	\$340,100
Faculty Ladder Ranks Scale – Business/Economics/Engineering – Fiscal Year	\$394,500
Faculty Ladder Ranks Scale – SFT – Veterinary Medicine – Fiscal Year	\$403,400
Faculty Ladder Ranks Scale – Law School – Academic Year	\$435,500

As you know, approval is required by the Provost and Executive Vice President for above-threshold salaries that involve new faculty appointments, retention of faculty, and faculty merit increases greater than 10 percent. As a reminder and in accordance with the President's Delegation of Authority letter dated February 4, 2014, at <http://policy.ucop.edu/files/da/da2150.pdf>, Chancellors are authorized to approve above-threshold salaries in cases of faculty merit increases of ten percent or less.

Approval is also required by the Provost and Executive Vice President for above-threshold salaries for non-faculty academics and for academic personnel with administrative duties whose salaries exceed the \$310,000 threshold, regardless of discipline.

In addition, enclosed is the chart presenting the 2017-18 Guidelines for Above-Threshold Salary Approval for Academic Appointees, including the faculty ladder ranks, with the new thresholds.

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If you have any questions, please contact Executive Director Pamela Peterson in my office at Pamela.Peterson@ucop.edu or (510) 987-0888.

Sincerely,



Susan L. Carlson
Vice Provost
Academic Personnel and Programs

Enclosure: 2017-18 Guidelines for Above-Threshold Salary Approval

cc: President Napolitano
Chancellors
Provost and Executive Vice President Brown
Academic Council Chair White
Executive Vice President Brostrom
Executive Vice President and Chief Operating Officer Nava
Vice President Duckett
Vice Provosts/Vice Chancellors Academic Personnel/Academic Affairs
Academic Personnel Directors
Executive Director Baxter
Executive Director Larsen
Executive Director Peterson
Director Grant
Analyst Ha
Universitywide Policy Office

2017-2018 Guidelines for Above-Threshold Salary Approval for Academic Appointees*

	SMG with Faculty Appointment	Faculty Appointment with No Administrative Duties	Faculty Appointment with Administrative Appointment or Administrative Duties Not as Dean	Faculty Appointment with Administrative Appointment or Administrative Duties as Dean	Non-faculty Academic Appointments [Not SMG]
Titles	All SMG positions with underlying faculty titles such as Chancellors, Executive Vice Chancellors/Provosts, Vice Chancellors, etc. (including Acting/Interim titles).	Faculty titles (Professorial)	Faculty with concurrent admin. duties such as Vice Provost, Department Chair, Director, Faculty Assistant, Associate Dean, Associate Vice Chancellor, or other administrative titles (including Acting/Interim titles). Appointment to the administrative position may be full time or less than full time.	Faculty with concurrent Dean title. Appointment to the position of Dean is made on a fiscal-year basis and must be full time. Appointment as Acting/Interim Dean may be made either on an academic-year or fiscal-year basis, as determined by campus need.	Academic Administrators, Academic Coordinators, Professional Researchers, etc.
Threshold for Approval of Salary Beyond the Campus	Contact HR-UCOP Human Resources, Compensation Programs and Strategies, or local campus SMG Coordinator	\$310,000 - Regular AY** \$360,200 - Regular FY** \$340,100 - BEE - AY** \$394,500 - BEE - FY** \$403,400 - SFT-VM-FY** \$435,500 - Law School - AY**	Administrative (unit) salary and/or professorial base salary including above- or off-scale salary, plus administrative payment (stipends and/or admin 1/9th), exceeds \$310,000.	Total cash compensation exceeds \$580,000 unit salary. For underlying faculty appointment, threshold of \$310,000 applies.	Total compensation exceeds \$310,000.
Type of Pay Considered in Determining Whether Pay Reaches Threshold	Contact HR-UCOP Human Resources, Compensation Programs and Strategies, or local campus SMG Coordinator	Professorial base salary including above- or off-scale salary. Not additional compensation; 1/9th or 1/12th for research, teaching, admin.; faculty recruitment allowance; honoraria; awards; prizes; or one-time payments.	Administrative (unit) salary and/or professorial base salary including above- or off-scale salary plus administrative payment (stipends, admin 1/9th or 1/12th). Not additional comp. for research and/or teaching 1/9th or 1/12th; start-up funds; faculty recruitment allowance; honoraria; awards; prizes; or one-time payments.	Deans' unit salary including additional University compensation and cash payments (see APM 240). Acting/Interim Deans' salary: administrative (unit) salary and/or professorial base pay including above- or off-scale salary plus administrative payment (stipends and/or admin. 1/9th, 1/12th) for temporary service.	Academic base salary, including administrative payment/stipend.
Pre-Approval Authority	Contact HR-UCOP Human Resources, Compensation Programs and Strategies, or local campus SMG Coordinator	Provost and Executive Vice President	Provost and Executive Vice President	Regents	Provost and Executive Vice President
UCOP Office Handling Approval	Contact HR-UCOP Human Resources, Compensation Programs and Strategies, or local campus SMG Coordinator	Academic Personnel and Programs	Academic Personnel and Programs	Academic Personnel and Programs	Academic Personnel and Programs
Report to the Regents	For all SMG positions: (1) Annual Report on Executive Compensation (2) Annual Report on Outside Professional Activities		For selected full-time Faculty Administrator positions transferred from SMG to Academic Personnel Program: (1) Annual Report on Executive Compensation (2) Annual Report on Compensated Outside Professional Activities	For all Deans in the Academic Personnel Program: (1) Annual Report on Executive Compensation (2) Annual Report on Compensated Outside Professional Activities	

* Excludes HSCP participants, except for Deans governed under APM 240 and APM 670, because their salaries do not require pre-approval by UCOP/Provost and Executive Vice President. As required in APM 670, HSCP participants' total compensation that is greater than four times the highest step on the Professor Series Fiscal Year Salary Scale is reported separately and annually to the Regents.

** The Indexed Compensation Level (ICL) thresholds for faculty salaries are listed at http://www.ucop.edu/academic-personnel-programs/_files/documents/salary-thresholds.pdf.