

240-4 **Definitions**

- a. An academic Dean ~~or Provost~~ is head of a Division, College, School, or other similar academic unit and has administrative responsibility for that unit. This assignment includes fiscal responsibility for the unit; responsibility for ensuring diversity of the faculty, students and staff, including maintaining an affirmative action program for faculty and staff recruitment and retention program consistent with University affirmative action policies, Regental policy and applicable law; and responsibility for ~~ensuring~~ that systemwide and local policies, including Academic Senate regulations, are observed.
  
- b. ~~A Divisional Dean is head of a Division of a College, School, or other similar academic unit and has administrative responsibility for that unit. A Divisional Dean may also head an intercollege/school division.~~
  
- b. As academic heads of their units, Deans are persons of scholarly and/or, professional accomplishment. The University encourages their continued engagement in scholarly, professional, and teaching activities, if possible and to the extent consistent with their decanal responsibilities, and it is therefore appropriate for time to be allotted to them to engage in these activities.

240-10 **Criteria for Appointment ~~and Evaluation~~**

Each Chancellor<sup>1</sup> shall develop ~~C~~criteria for appointment ~~and evaluation~~ of a Dean or Provost shall be developed by each Chancellor or designee.

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<sup>1</sup> In accordance with APM - 100-6-d, the Chancellor may redelegate to a designee authority for implementing APM - 240.

**240-14 Eligibility**

- a. Except as specified in APM - 240, Deans are subject to all Academic Personnel policies.
- b. Acting and Interim Deans are subject to APM - 240 and to all Academic Personnel policies.
- c. A Dean with a concurrent title of Vice Chancellor and/or a Dean who reports solely to the Chancellor are subject to Senior Management Group policies.
- d. University Extension Deans and student affairs deans are not covered by this policy.

**240-16 Restrictions**

~~The following restrictions apply to the appointment of an academic Dean or Provost:~~

- a. ~~A Dean or Provost shall hold a concurrent University appointment in one of the following title series: Professor series, Professor in Residence series, or one of the equivalent ranks as defined by Regents' Standing Order 103.3. (See ~~APM - 115~~) an Academic Senate faculty title or an equivalent title (See APM - 115, "Equivalent" Titles and Ranks).~~
- b. ~~An appointment to the position of Dean or Provost may~~ shall ~~be full time or part time. The personnel policies herein apply to all appointments, regardless of percent time. For Deans and Provosts appointed in the Senior Management~~

~~Program, the Personnel Policies for Senior Managers, also apply. (Personnel Policies for Staff Members, Appendix H)~~

240-17 **Terms of Service**

- a. Subject to APM - 240-20-a, appointments to the position of Dean shall normally be for a period of five years, subject to reappointment. The Chancellor may approve a shorter service period. Appointments shall be made on a fiscal-year basis.
- b. Appointment as Acting or Interim Dean may be made either on an academic-year or fiscal-year basis, as determined by campus need.

240-18 **Salary**

Deans are positioned uniquely within the University system to serve as senior scholarly and professional leaders, thus, a fair and competitive salary structure must acknowledge this duality of purpose, and attempt to achieve a balanced approach to decanal compensation. The full scope of decanal responsibilities must be considered in the context of a senior leader bridging the University's academic mission and administrative enterprise.

A Deans Salary Structure, consisting of salary bands, each with established minimum and maximum ranges, will be determined by the Office of the President. Salary will be constituted as a unit salary aligned with competitive market-based pay, and based on compensable factors.

During salary-setting, the Chancellor shall review a matrix of compensable factors included with the Deans Salary Structure. Compensable factors to consider and weigh to determine salary are: academic discipline; core functions of the job (size, scope, and complexity); market-based benchmarks; University faculty salary rank and step; strategic prioritization of the Campus, Division, College, School, or similar academic unit; and challenges unique to the history and character of the Campus, Division, College, School, or similar academic unit.

- a. ~~Authority to approve salaries for the appointment of Deans and Provosts is established in the Personnel Policies for Staff Members, Appendix H, Personnel Policies for Senior Managers.~~
- b. ~~Academic-year or fiscal-year annual salaries up to the Regental Compensation threshold for the appointment of Acting Deans and Acting Provosts are approved by the Chancellor. This figure will be indexed annually in accordance with the Consumer Price Index.~~
- c. ~~Guidelines for the compensation of Divisional Deans, Associate Deans, and Assistant Deans are presented in APM - 630.~~

a. **Determination of Salary**

- (1) **Dean Salary Range.** A salary range for Deans established and maintained by the Office of the President shall serve as a baseline for determining appointment salary. Adjustments to the salary range shall not automatically result in corresponding increases in individual salaries.
- (2) **Prior administrative experience.** Prior relevant administrative experience may be considered in determining appropriate salary.

- (3) **Market conditions and comparability.** To pay competitive total compensation in regional and national marketplaces, the Chancellor may consider external market comparability and other market-based conditions in determining an appropriate salary. Comparison institutions selected to address the needs of individual schools and/or disciplines shall be comparable in rank and stature to the University of California.
- (4) **Equity within the University of California System.** Comparisons with equivalent Dean positions and responsibilities within the University may be considered in determining an appropriate salary.
- (5) **Professorial salary.** To encourage service as Dean, the Dean's administrative salary shall at all times remain greater than his or her University of California professorial salary.

b. **Merit Increases**

- (1) **Basis for Merit Increase.** A Dean shall be eligible for consideration of an annual merit increase associated with the administrative salary in accordance with campus procedures and the availability of funding. The decanal administrative merit review criteria and procedure is separate and distinct from the academic merit review criteria and procedure established under APM - 615, Salary Increases/Merit.

Eligibility to offer administrative merit increases will be determined annually through the University of California budget process.

- (2) **Eligibility.** The Dean's appointment must take effect no later than April 1 to be eligible for an administrative merit increase during the subsequent merit cycle.
  
- (3) **Amount of Merit Increase.** The amount of a Dean's administrative merit increase shall be based upon the following factors:
  - (a) The Dean's annual assessment (see APM - 240-80).
  
  - (b) The Dean's current position within the salary range and his or her salary relative to comparable internal positions.
  
  - (c) The availability of approved merit increase funding.

**c. Equity or Retention Increases**

- (1) The Chancellor may approve a salary increase to correct a significant salary inequity in individual circumstances based on factors such as rapidly changing external market conditions or a disparity created by new appointees in the same or substantially similar Dean positions, either at the campus or within the University, who have comparable levels of skills and experience.
  
- (2) The Chancellor may approve a salary increase when a five-year review results in a recommendation to reappoint the Dean and identifies significant achievements and/or sustained excellent administrative performance beyond that which can be recognized in the annual merit process. A salary increase also may be justified at the time of reappointment if market conditions or internal equity factors have

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changed since appointment, or if necessary to retain the Dean following a successful five-year review.

- (3) An equity or retention increase shall be effective on the same date as the administrative merit (normally October 1), to the extent possible. The merit increase shall be applied first, and any additional equity or retention increase shall be determined after applying the merit adjustment. An additional increase beyond the merit adjustment shall be provided only if the applied merit increase does not resolve the inequity or retention issue.
- (4) If a Dean receives an equity or retention increase in a fiscal year prior to the commitment of merit funding, the subsequent merit shall be calculated based upon the salary prior to any adjustment. A merit increase will only be applied if the merit calculation is more than the equity or retention increase.

**d. Compensation for Appointment as Acting or Interim Dean**

- (1) Administrative compensation shall be paid to an Acting Dean for assuming the responsibilities of an appointed Dean who is on an approved leave of absence or sabbatical leave for at least one term.
- (2) Administrative compensation shall be paid to an Interim Dean when the individual is serving as Dean on an interim basis when a new permanent Dean has not yet been named.
- (3) Compensation for an Acting or Interim Dean shall be set in accordance with normal campus practice.

e. **Additional University Compensation**

A Dean is compensated for full-time service. Additional University compensation is appropriate only in the following circumstances:

- (1) **Summer Research.** Deans may receive up to 1/12th payment for summer research based on their annual decanal salary. In such instances, accrued vacation will be used in proportion to the research days worked.
- (2) **Summer Session Teaching.** Deans may receive up to 1/12th payment for summer session teaching. In such instances, accrued vacation will be used in proportion to the teaching days worked.
- (3) **Additional Administrative Duties.** In exceptional circumstances, the Chancellor may assign a Dean to additional and temporary administrative duties within the University. In such cases, the campus may provide a stipend for the additional temporary administrative responsibilities.
- (4) **Acting or Interim Dean Appointments.** Academic-year Acting or Interim Dean appointees may receive administrative compensation for duties during the summer period. In combination with other University compensation for teaching and/or research duties, the total summer compensation may not exceed 3/9ths, exclusive of any stipends.

240-20 **Conditions of Employment**

- a. Individuals appointed to the titles of Dean, Acting Dean, or Interim Dean serve at the discretion of the Chancellor. The Chancellor may terminate the

appointment of a Dean at will at any time, after discussion with an appropriate group of the faculty as determined by the Chancellor and after consultation with the Academic Senate. If the Chancellor terminates the appointment of a Dean, it is not subject to grievance unless the reasons for the termination are unlawful or in violation of University policy.

- b. Termination of a Dean appointment does not terminate the underlying faculty appointment.
- c. Conflict of Commitment and Outside Professional Activities

A Dean may engage in outside activities as defined by and in accordance with APM - 025, Conflict of Commitment and Outside Activities of Faculty Members, with the following additional provisions:

- (1) A Dean may serve on no more than three for-profit external boards (not entities of the University of California) for which he or she receives compensation and for which he or she has governance responsibilities.
- (2) All outside professional activities, including compensated consulting activity, shall be reported annually to the Chancellor.
- (3) In accordance with APM - 025, Conflict of Commitment and Outside Activities of Faculty Members, a Dean may in each fiscal year engage in a maximum of forty-eight (48) calendar days of compensated outside professional activity, which includes the twelve days cited in (4) below.
- (4) A Dean may engage in compensated outside professional activity up to twelve (12) University workdays per fiscal year without deducting from

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vacation leave balances. In excess of twelve (12) days, a Dean shall use accrued vacation leave for compensated outside professional activities.

240-24 **Authority**

a. ~~Appointment of a Dean or Provost:~~

The Chancellor has the authority to appoint and reappoint a Dean, ~~or Provost including those serving in an interim or acting capacity, and to approve the Dean's appointment salary and appropriate salary increases up to the established maximum published in the Deans Salary Structure and in accordance with campus procedures.~~ ~~The Chancellor, in consultation with the Academic Senate, shall appoint a committee to advise in the selection of a Dean or Provost. In cases when the Dean is the head of a school or college consisting of a single department, the faculty of the school or college shall also be consulted. In cases involving professional schools offering courses at the graduate level only, the faculty of the school shall be consulted.~~

b. For initial appointments and five-year reviews, the Chancellor, after consultation with the Academic Senate, shall appoint a committee to advise him or her. In all cases, the faculty of the respective Division, College, School, or other similar academic unit also will be consulted.

b. ~~Appointment of Acting Dean or Acting Provost:~~

~~The Chancellor has the authority to appoint an Acting Dean or Acting Provost in accordance with local campus procedures. The appointment of an Acting~~

~~Dean or Acting Provost shall be a temporary appointment normally for a period not to exceed twelve months.~~

c. The Chancellor shall report monthly and annually to the President any new appointees to the title of Dean or salary increases to existing appointees.

e. ~~Appointment of Divisional Dean, Associate Divisional Dean, Associate and Assistant Dean, Associate and Assistant Provosts:~~

~~Appointments of Divisional Dean, Associate Divisional Dean, Associate and Assistant Deans, Associate and Assistant Provosts, and acting appointments to those titles shall be made by the Chancellor upon the recommendation of the Dean or Provost under whom they serve and in accordance with specified campus procedures:~~

d. ~~Deans and Provosts~~ and acting appointments to ~~those~~ this titles title serve at the discretion of the Chancellor. The Chancellor may end the appointment of a Dean or Provost at will and at any time, after discussion with an appropriate group of the faculty determined by the Chancellor after consultation with the Chair of the Division of the Academic Senate.

e. ~~Divisional Deans, Associate Divisional Deans, Associate and Assistant Deans, and Associate and Assistant Provosts serve at the discretion of the Chancellor. The Chancellor, after consultation with the appropriate Dean or Provost, may end these appointments at will and at any time. In the case of a Divisional~~

~~Dean who heads an intercollege/school division, provisions for ending the appointment of a dean/provost apply. (See APM - 240-24-d.).~~

240-60 **Benefits and Privileges**

a. **Vacation Accrual**

- (1) Deans accrue and use vacation leave in accordance with APM - 730, Leaves of Absence/Vacation.
- (2) The Chancellor shall develop campus procedures for reporting vacation usage.
- (3) A Dean shall be granted no more than thirty (30) days in any academic year for the purpose of attending international conferences and related scholarly activities. For additional time off beyond thirty (30) days, a Dean shall be required to use accrued vacation. However, if the Dean does not have sufficient accrued vacation, the Chancellor may approve the additional time off as leave without pay.

b. **Medical Leave**

Deans do not accrue sick leave. However, Deans shall be granted paid medical leave for periods of personal illness, injury, or disability. See APM - 710-11, Paid Medical Leave for Academic Appointees Who Do Not Accrue Sick Leave.

c. **Sabbatical Leave Accrual**

- (1) Deans accrue sabbatical leave credit based on their faculty administrative appointment in accordance with APM - 740, Leaves of Absence/ Sabbatical Leaves.

- (2) Deans continue to accrue sabbatical leave credit beyond the established limits set forth in APM - 740-16-a for the duration of the Dean appointment.

d. **Administrative Leave**

When approved by the Chancellor, an administrative leave may be granted during appointment as Dean in accordance with APM - 758, Leaves of Absence/Other Leaves With Pay.

e. **Transition Leave**

- (1) A Dean may be eligible to take a transition leave immediately following the conclusion of the appointment to provide an opportunity to redirect his or her primary efforts to scholarly activities.

- (2) Paid transition leave requires a corresponding deduction of sabbatical leave credits and is subject to the terms and conditions described in APM - 740, Leaves of Absence/Sabbatical Leaves.

- (3) Transition leave shall be paid at either the current administrative or faculty rate contingent upon when sabbatical leave credits were accrued. The salary rate for that portion of the leave compensated at the administrative salary rate is ineligible for any increase. The faculty salary rate is subject to any change that may result from salary scale adjustments or academic personnel review during the period of the leave.

If sabbatical leave credits are exhausted before the conclusion of a transition leave period, the Chancellor may approve a leave with pay at

the faculty salary rate through the conclusion of the transition leave period. The individual is required to fulfill a return to active University service requirement (as stated in APM - 740-16-d) equal to the total period of the transition leave.

Sabbatical leave credits do not accrue during transition leave periods. Sabbatical leave credit accrual resumes upon return to University faculty service.

- (4) The combined total of transition leave and sabbatical leave taken during administrative service within the last five years may not exceed one year (12 months).

240-80 **Review Procedures**

This section of policy formalizes review procedures for the administrative portion of a Dean's duties. The administrative review procedures are separate and distinct from the formal academic review procedures governing the underlying faculty appointment as described in APM - 210, Review and Appraisal Committees, and APM - 220, Professor Series.

a. **Annual Assessment**

The Chancellor shall conduct an annual assessment for each Dean. The Chancellor shall communicate the key components of the assessment to each Dean.

a. **b. Five-Year Review and Reappointment**

(1) The Chancellor shall conduct a five-year A performance review for each academic Deans and Provosts shall be conducted no later than the fifth year of service and at five-year intervals thereafter to determine whether reappointment to another term is warranted. In each case involving the five-year review of a Dean ~~or Provost~~, the Chancellor, ~~in~~ after consultation with the Academic Senate, shall appoint an advisory committee to review the Dean's performance and accomplishments ~~of the Dean or Provost~~. The advisory committee shall report its findings to the Chancellor.

(2) The Chancellor shall develop the criteria and procedures for conducting five-year Dean reviews.

b. ~~The Chancellor or designee shall develop guidelines for the review of Divisional Deans, Associate Divisional Deans, Associate and Assistant Deans, and Associate and Assistant Provosts.~~